

1 Finite Fields

Theorem 1.1. *Let K be a field with a finite number of elements.*

- (1) K has p^n elements for some prime p and $n \in \mathbb{N}$.
- (2) Each element of K is a root of $x^{p^n} - x$, so $x^{p^n} - x$ factors completely, into distinct linear factors, over K .
- (3) There is an element $\eta \in K$ whose powers $\eta^1, \eta^2, \dots, \eta^{p^n-1} = 1$ give all the nonzero elements of K . Consequently, K^* is cyclic of order $p^n - 1$.
- (4) K is isomorphic to $\mathbb{F}_p[x]/m(x)$ for some irreducible polynomial $m(x)$ of degree n over \mathbb{F}_p . Furthermore $m(x)$ is a factor of $x^{p^n} - x$.

For any prime p and any positive integer n :

- (5) There exists a field with p^n elements.
- (6) Any two fields with p^n elements are isomorphic.
- (7) The field with p^n elements has a subfield with p^d elements if and only if d divides n .

We use \mathbb{F}_{p^n} to denote the unique field with p^n elements.

Proposition 1.2. *The field \mathbb{F}_{p^d} is contained in \mathbb{F}_{p^n} if and only if d divides n .*

2 Function Fields

- (1) Starting with the polynomial ring $K[t]$ we can form a field, by creating the ring of fractions $D^{-1}k[t]$ where $D = K[t] \setminus \{0\}$. This is just

$$K(t) = \left\{ \frac{a(t)}{b(t)} : a(t), b(t) \in K[t], \text{ and } b(t) \neq 0 \right\}$$

- (2) We can form new fields by taking the polynomial ring $(K(t))[y]$ and modding out by an irreducible polynomial.

3 Rings and Algebras

Definition 3.1. A **ring** is a set R , with two operations $+$ and $*$ that satisfy the following properties.

- (1) $+$ and $*$ are both commutative and associative.
- (2) $+$ and $*$ both have identity elements (0 and 1 respectively).
- (3) $+$ admits inverses $a + (-a) = 0$.
- (4) $*$ distributes over $+$. That is $a * (b + c) = a * b + a * c$.

Strictly speaking, this defines a **commutative ring with identity**, but we refer to it simply as a ring.

Definition 3.2. Let R, S be rings. A function $\varphi : R \rightarrow S$ is a *ring homomorphism* when the following hold:

- (1) φ is a homomorphism of the additive groups $R, +_R$ and $S, +_S$. By theorem this is equivalent to

$$\varphi(a +_R b) = \varphi(a) +_S \varphi(b)$$

- (2) $\varphi(1_R) = 1_S$.
- (3) For $r_1, r_2 \in R$,

$$\varphi(r_1 *_R r_2) = \varphi(r_1) *_S \varphi(r_2).$$

The universal homomorphism for \mathbb{Z} :

Theorem 3.3 (The Initial Ring). *For any ring R there is a unique homomorphism from \mathbb{Z} to R . The kernel is the set of multiples of some integer m . If $m = 0$ then R has a subring isomorphic to \mathbb{Z} . If $m > 0$, then R contains a subring isomorphic to \mathbb{Z}/m .*

We are interested in rings that all contain some particular field, K , which leads us to the following definition. (It can be generalized to an arbitrary ring K , but we won't need that.)

Definition 3.4. Let K be a field. A **K -algebra** (or algebra over K) is a ring R that contains K . Let R and S both be K -algebras. A K -algebra homomorphism $R \xrightarrow{\varphi} S$ is a ring homomorphism that satisfies $\varphi(a) = a$ for all $a \in K$.

We will always (ok, maybe there will be some exceptions) be dealing with K -algebras and homomorphisms of K -algebras in this course.

There is a universal property for a polynomial ring over K .

Theorem 3.5 (Universal property of polynomial rings). *Let R be a K -algebra. For any $r \in R$ there is a unique (K -algebra) homomorphism from $K[x]$ to R that takes x to r .*

$$\begin{aligned} \bar{\varphi} : K[x] &\longrightarrow R \\ \sum_i a_i x^i &\longmapsto \sum_i a_i r^i \end{aligned}$$

We will be dealing with K -algebras (basically polynomial rings $K[x_1, \dots, x_n]$ and K -algebras rings derived from them, such as quotient rings). The main issue is that we will never (ok, perhaps with exceptions) deal with automorphisms of K .

4 Ideals and Quotient Rings

Definition 4.1. An **ideal** of a ring R is a nonempty subset $I \subseteq R$ which is closed under addition and closed under multiplication by an arbitrary element of R :

- (1) For $a, b \in I$, the sum $a + b$ must be an element of I .
- (2) For $a \in I$ and any $r \in R$ the product ra must be an element of I .

We will say that I **absorbs products**.

The GCD theorem for \mathbb{Z} may be interpreted as saying that the ideal generated by integers a, b is equal to the ideal generated by $\gcd(a, b)$. (A similar statement can be made for $K[x]$). The next proposition identifies the ideals we will be dealing with (and leaves open the question of infinitely generated ideals).

Proposition 4.2. *Let R be a ring.*

If an ideal I of R contains a unit, then $I = R$.

For any $a_1, a_2, \dots, a_n \in R$, the following set is an ideal of R , and is called the ideal generated by $\{a_1, \dots, a_n\}$.

$$I = \{r_1 a_1 + r_2 a_2 + \dots + r_n a_n : r_i \in R\}$$

The interest in ideals is that we can form quotient rings, as we did with \mathbb{Z}/n and $K[x]/m(x)$.

Proposition 4.3. *Let R be a ring and let I be a proper ideal in R (that is $I \neq R$). Let $R/I = \{r + I : r \in R\}$. Then R/I is a ring, with additive structure defined by R/I as the quotient of the abelian group R by its subgroup I , and multiplicative structure defined by*

$$(r + I)(s + I) = rs + I$$

The additive identity is $0 + I$ and the multiplicative identity is $1 + I$.

The function $R \rightarrow R/I$ that takes r to $r + I$ is a homomorphism of rings.

5 Properties of Elements and Ideals; Particular Types of Rings

There are particular types of elements, and particular types of rings that interest us.

Definition 5.1. An element u of a ring R is a **unit** when there is another element v such that $u * v = 1$. An element a of a ring R is a **zero-divisor** when $a \neq 0$ and there is some $b \neq 0$ in R such that $a * b = 0$. An element a of a ring R is **nilpotent** when there exists some positive integer n such that $a^n = 0$.

Definition 5.2. A **field** is a nontrivial ring in which every nonzero element is a unit. An **integral domain** is a nontrivial ring that has no zero-divisors. A nontrivial ring is **reduced** if it has no nilpotent elements other than 0.

Definition 5.3. Let I be a proper ideal of R (that is $I \neq R$). An ideal I is **maximal** if the only ideal properly containing I is R . The ideal I is **prime** when $ab \in I$ implies that either $a \in I$ or $b \in I$. The ideal I is **radical** when $a^n \in I$ for $n \in \mathbb{N}$ implies $a \in I$.

Theorem 5.4. *All prime ideals are radical. All maximal ideals are prime. Consequently, for a ring R*

$$\{\text{maximal ideals in } R\} \subseteq \{\text{prime ideals in } R\} \subseteq \{\text{radical ideals in } R\}$$

Theorem 5.5. *Let R be a ring and I an ideal in R .*

- (1) *I is a maximal ideal if and only if R/I is a field.*
- (2) *I is a prime ideal if and only if R/I is an integral domain.*
- (3) *I is a radical ideal if and only if R/I is reduced.*

Every field is an integral domain. Every integral domain is a reduced ring.

6 Problems

Problems 6.1. An algebraic extension of $K(t)$.

Consider the polynomial $y^3 - t^2 - t$ in $K(t)[y]$.

- (a) Show that it is irreducible. Note: if it factors, it must have a root.
- (1) Show that no element of $K[t]$ is a root by using unique factorization.
 - (2) Show that no element of $K(t)$ that is not a polynomial could possibly be a root by using lowest terms.
- (b) Let $s = y + \langle y^3 - t^2 - t \rangle$, so that we can think of the field $K(t)[y]/\langle y^3 - t^2 - t \rangle$ as $K(t, s)$.
- (1) Write down the general form of an element of $K(t, s)$.
 - (2) Find the inverse of s . [Multiply s by a general element and set equal to 1.]
 - (3) Show that the inverse of $s - 1$ is $\frac{1}{t^2 + t - 1}(s^2 + s + 1)$.

Problems 6.2. Playing with $\overline{\mathbb{F}}_p$.

Let $a(x) = x^n + a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \cdots + a_1x + a_0$ be an element of $\overline{\mathbb{F}}_p[x]$. Each coefficient is in some finite field, let us assume that $a_i \in \mathbb{F}_{p^{m_i}}$ but is in no smaller field.

- (a) What is the smallest field containing all the coefficients?
- (b) Suppose that $a(x)$ is irreducible in the field from part (a). What is the smallest field containing all of the roots of $a(x)$?
- (c) [hard, mainly for discussion] Suppose $a(x)$ is not irreducible. What is the smallest field containing all of the roots of $a(x)$? Treat this for $n = \deg(a(x))$ equal to 2, 3, 4, 5, 6 and consider the possible ways that $a(x)$ might factor.

Problems 6.3. K -algebras and product rings.

Let R_1, R_2, \dots, R_n be K -algebras.

- (a) Show that $R_1 \times R_2 \times \cdots \times R_n$ is also a K -algebra. Identify the subring K in $R_1 \times R_2 \times \cdots \times R_n$.
- (b) Identify the units in $R_1 \times R_2 \times \cdots \times R_n$.
- (c) Identify the nilpotents in $R_1 \times R_2 \times \cdots \times R_n$.
- (d) Identify the zero-divisors in $R_1 \times R_2 \times \cdots \times R_n$.

Problems 6.4. The universal property of $K[x]$.

- (a) Let $a \in K$ and consider the homomorphism from $K[x]$ to K that takes x to a . What is the kernel of this homomorphism?
- (b) Let $a_1, \dots, a_n \in K$ and consider the homomorphism from $K[x]$ to the ring K^n that takes x to (a_1, a_2, \dots, a_n) . What is the kernel of this homomorphism?
- (c) Let $g(x) \in K[x]$ and consider the homomorphism from $K[x]$ to $K[x]$ x to $g(x)$.
 - (1) What is the kernel of this homomorphism?
 - (2) Under what conditions is this map an isomorphism?

Problems 6.5.

(a)

Problems 6.6.

(a)